Broker # _____(in house use only)

BROKER APPLICATION

Account Executive

COMPANY NAME			
DBA			
NMLS#			
ADDRESS			
CITY/STATE/ZIP			
TELEPHONE		**FAX**	
E-MAIL			

ADDITIONAL BRANCH LOCATIONS require separate request. Each DBA or company name requires a separate application and agreement.

Has your company or any member of your staff been disbarred or suspended from doing business with any government agency or lender: ☐ **YES** ☐ **NO**

Does your company own an escrow company or conduct its own escrows? ☐ Yes ☐ No
If yes, what % of transactions do you process through this escrow?
Under what name and location does the Escrow Company operate?
Address:

Mark one entity type:

☐ Corporation ☐ Limited Liability Corporation ☐ Limited Partnership
☐ General Partnership ☐ Individual/Sole Proprietorship EIN# _____
☐ If Partnership or Corporation complete below: SSN# _____
Date of Formation: _____Country/State:_____

How is your company licensed?
☐ Residential Mortgage Lender (DOC/CRMLA) # / Exp Date: _____ /_____
☐ Dept of Real Estate (Circle one) Corporation or Broker
(DRE) # / Exp Date: _____ / _____

BROKER OF RECORD		**SOCIAL SECURITY #**
HOME ADDRESS		
CITY/STATE/ZIP		
PRINCIPAL OFFICER		**SOCIAL SECURITY #**
HOME ADDRESS		
CITY/STATE/ZIP		
PRINCIPAL OFFICER		**SOCIAL SECURITY #**
HOME ADDRESS		
CITY/STATE/ZIP		

VOLUME		**CONVENTIONAL**		**FHA / VA**		**TOTAL $**
YTD (Current)	**$**		**$**		**$**	
Last Year	**$**		**$**		**$**	
Two Years Ago	**$**		**$**		**$**	

Please provide the names and contact representative for 4 major lenders you are currently approved to broker loans to that can provide a reference.

PLEASE LIST 4 LENDING REFERENCES WITH WHOM YOU DO BUSINESS

COMPANY NAME	CORPORATE CONTACT	PHONE NUMBER

PERSONNEL PROFILE (must list all staff)

PRINCIPAL/OWNER	MANAGER	LOAN AGENTS	LOAN AGENTS	PROCESSORS

PROVIDENT BANK will run credit non-inquiry reports on the principal officers and the broker of record of your company. If you are approved by PROVIDENT BANK, you will act as an independent contractor, and, in no event, shall be considered an agent or representative of PROVIDENT BANK. The undersigned declares that, to the best of their knowledge, the statements set forth herein are true and correct. PROVIDENT BANK is hereby authorized to obtain verification from any source named herein, and order credit reports and/or independent background investigations on the application. PROVIDENT BANK agrees that any financial information provided herein or any references contacted will be treated as confidential.

Principal Signature _____Date:_____

2nd Principal Signature _____Date:_____

3rd Principal Signature _____Date:_____

Broker of Record Signature:_____ Date:_____